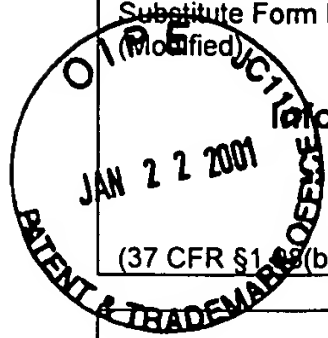


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|---|--|--|--|---------------------------------------|--|---|--|
| Substitute Form PTO-1449<br>(Modified)  |  | U.S. Department of Commerce<br>Patent and Trademark Office |  | Attorney's Docket No.<br>08191-013001 |  | Application No.<br>09/664,225                   |  |
| <b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br>(37 CFR §1.8(b)) |  |  |  | Applicant<br>Hedley et al.            |  | JAN 23 2001                                     |  |
|   |  |  |  | Filing Date<br>September 18, 2000     |  | Group Art Unit<br>1615<br>TECH CENTER 1600/2900 |  |

**U.S. Patent Documents**

| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee       | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|---------------|------------|----------------|-------|----------|----------------------------|
| Dr               | AA        | 5,733,548     | 3/31/98    | Restifo et al. | 424   | 184.1    |                            |
|                  | AB        | 5,840,306     | 11/24/98   | Hofmann et al. | 424   | 186.1    |                            |
|                  | AC        | 5,846,540     | 12/8/98    | Restifo et al. | 424   | 192.1    |                            |
|                  | AD        | 5,880,103     | 3/9/99     | Urban et al.   | 514   | 44       |                            |
|                  | AE        | 5,962,428     | 10/5/99    | Carrano et al. | 514   | 44       |                            |
|                  | AF        | 6,013,258     | 1/11/00    | Urban et al.   | 424   | 186.1    |                            |
|                  | AG        | 6,037,135     | 3/14/00    | Kubo et al.    | 435   | 7.24     |                            |

**Foreign Patent Documents or Published Foreign Patent Applications**

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
|                  |           |                 |                  |                          |       |          | Yes         | No |
| Dr               | AH        | WO 94/03205     |                  | PCT                      |       |          |             |    |
|                  | AI        | WO 96/03144A1   |                  | PCT                      |       |          |             |    |
|                  | AJ        | WO 97/34617     |                  | PCT                      |       |          |             |    |
|                  | AK        | WO 97/34621     |                  | PCT                      |       |          |             |    |
|                  | AL        | WO 99/18995     |                  | PCT                      |       |          |             |    |
|                  | AM        | WO 99/45954     |                  | PCT                      |       |          |             |    |
|                  | AN        | WO 99/58658     |                  | PCT                      |       |          |             |    |

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|------------------|-----------|---|
| Dr               | AO        | An et al. "A Multivalent Minigene Vaccine, Containing B-Cell, Cytotoxic T-Lymphocyte, and T <sub>h</sub> Epitopes from Several Microbes, Induces Appropriate Responses In Vivo and Confers Protection Against More Than One Pathogen" J. VIROLOGY, 71(3):2292-2302 (March 1997) |
|                  | AP        | Donahoe et al. "Direct Measurement of CD8+ T Cell Responses In Macaques Infected With Simian Immunodeficiency Virus" VIROLOGY, 272:347-356 (2000)   |
|                  | AQ        | Hanke et al. "DNA Multi-CTL Epitope Vaccines for HIV and <i>Plasmodium Falciparum</i> : Immunogenicity In Mice" VACCINE, 16(4):426-435 (1998)   |
|                  | AR        | Hanke et al. "Effective Induction of HIV-Specific CTL By Multi-Epitope Using Gene Gun In a Combined Vaccination Regime" VACCINE, (17):598-596 (1999)  |
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|                                 |                            |
|---------------------------------|----------------------------|
| Examiner Signature<br><i>Dr</i> | Date Considered<br>4/19/07 |
|---------------------------------|----------------------------|

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Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
08191-013001Application No.  
09/664,225Information Disclosure Statement  
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(37 CFR §1.98(b))

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September 18, 2000Group Art Unit  
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## Other Documents (include Author, Title, Date, and Place of Publication)

TECH CENTER 1600/2900

| Examiner Initial | Desig. ID | Document   |
|------------------|-----------|--|
| <i>Dr</i>        | AT        | Hanke et al. "Pre-Clinical Development of a Multi-CTL Epitope-Based DNA Prime MVA Boost Vaccine for AIDS" IMMUNOLOGY LETTERS, 66:177-181 (1999)  |
|                  | AU        | Ishioka et al. "Utilization of MHC Class I Transgenic Mice for Development of Minigene DNA Vaccines Encoding Multiple HLA-Restricted CTL Epitopes" J. IMMUNOL., 162:3915-3925 (1999)   |
|                  | AV        | Kast "Role of HLA-A Motifs in Identification of Potential CTL Epitopes in Human Papillomavirus Type 16 E6 and E7 Proteins" J. IMMUNOL., 152:3904-3912 (1994)   |
|                  | AW        | Lalvani "An HLA-Based Approach To the Design Of a CTL-Inducing Vaccine Against <i>Plasmodium Falciparum</i> " RES. IMMUNOL, 145(6):461-468 (July-August 1994)  |
|                  | AX        | Nixon et al. "Simian Immunodeficiency Virus-Specific Cytotoxic T Lymphocytes and Protection Against Challenge In Rhesus Macaques Immunized With a Live Attenuated Simian Immunodeficiency Virus Vaccine" VIROLOGY, 266:203-210 (2000)                    |
|                  | AY        | Suhrbier "Multi-Epitope DNA Vaccines" IMMUNOL. CELL BIOL., 75:402-408 (1997)   |
|                  | AZ        | Thomson et al. "Minimal Epitopes Expressed In a Recombinant Polyepitope Protein Are Processed and Presented to CD8 <sup>+</sup> Cytotoxic T Cells: Implications for Vaccine Design" PROC. NATL. ACAD. SCI., 92:5845-5849 (June 1995)                     |
|                  | AAA       | Thomson et al. "Recombinant Polyepitope Vaccines for the Delivery of Multiple CD8 Cytotoxic T Cell Epitopes" J. IMMUNOL., 157:822-826 (1996)   |
|                  | ABB       | Thomson et al. "Delivery of Multiple CD8 Cytotoxic T Cell Epitopes by DNA Vaccination" J. IMMUNOL., 160:1717-1723 (1998)   |
|                  | ACC       | Toes et al. "Protective Anti-Tumor Immunity Induced By Vaccination With Recombinant Adenoviruses Encoding Multiple Tumor-Associated Cytotoxic T Lymphocyte Epitopes In a String-of-Beads Fashion" PROC. NATL. ACAD. SCI., 94:14660-14665 (December 1997) |
|                  | ADD       | von Heijne, Gunnar "A New Method for Predicting Signal Sequence Cleavage Sites" NUCLEIC ACIDS RESEARCH, 14(11):4683-4690 (1986)  |
| <i>Dr</i>        | AEE       | Whitton et al. "A "String-of-Beads" Vaccine, Comprising Linked Minigenes, Confers Protection From Lethal-Dose Virus Challenge" J. VIROLOGY, 67(1):348-352 (January 1993)   |

Examiner Signature

*Dr*

Date Considered

4/17/02

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# 6

|   |  |                        |                                       |                               |
|---|--|------------------------|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449<br>(Modified)<br><br><b>Supplemental Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) | U.S. Department of Commerce<br>Patent and Trademark Office |                        | Attorney's Docket No.<br>08191-013001 | Application No.<br>09/664,225 |
|   | Applicant<br>Mary Lynne Hedley et al.                      |                        |                                       |                               |
|   | Filing Date<br>September 18, 2000                          | Group Art Unit<br>1615 |                                       |                               |

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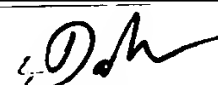
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| U.S. Patent Documents |           |               |            |                  |       |          |                            |
|-----------------------|-----------|---------------|------------|------------------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Patent Number | Issue Date | Patentee         | Class | Subclass | Filing Date If Appropriate |
|                       | AA        | 5,629,161     | 5/13/97    | Muller et al.    | 435   | 7.1      |                            |
|                       | AB        | 5,679,509     | 10/21/97   | Wheeler et al.   | 435   | 5        |                            |
|                       | AC        | 5,719,054     | 2/17/98    | Bournnell et al. | 435   | 320.1    |                            |

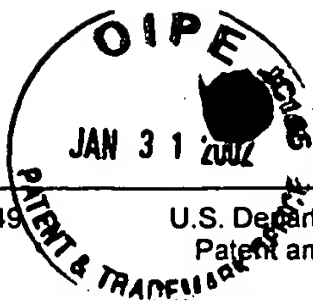
| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |    |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|   |           |                 |                  |                          |       |          | Yes         | No |
| P   | AD        | 0 451 550 A2    |                  | EP                       |       |          |             |    |
| J   | AE        | WO 92/05248     |                  | PCT                      |       |          |             |    |
|   | AF        | WO 96/19496     |                  | PCT                      |       |          |             |    |

| Other Documents (include Author, Title, Date, and Place of Publication) |           |          |
|---|-----------|----------|
| Examiner Initial  | Desig. ID | Document |
|   | AG        |          |
|   | AH        |          |

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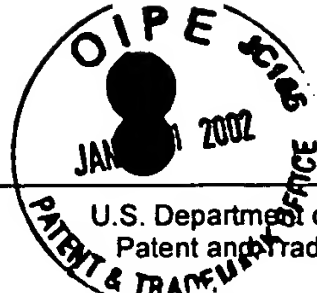
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|--|--|--|--------------------------------------|
| <b>Substitute Form PTO-1449</b><br>(Modified)<br><br><b>Supplemental Information Disclosure Statement</b><br><b>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) | <b>U.S. Department of Commerce</b><br><b>Patent and Trademark Office</b> | <b>Attorney's Docket No.</b><br>08191-013001 | <b>Application No.</b><br>09/664,225 |
|  | <b>Applicant</b><br>Mary Lynne Hedley et al.                             |  |                                      |
|  | <b>Filing Date</b><br>September 18, 2000                                 | <b>Group Art Unit</b><br>1633                |                                      |

| U.S. Patent Documents |           |               |               |                     |       |          |                             |
|-----------------------|-----------|---------------|---------------|---------------------|-------|----------|-----------------------------|
| Examiner Initial      | Desig. ID | Patent Number | Issue Date    | Patentee            | Class | Subclass | Filing Date/ If Appropriate |
| Q                     | AA        | 4,741,872     | 05/03/1998    | DeLuca et al.       | 264   | 4.7      |                             |
|                       | AB        | 4,777,239     | 10/11/1988    | Schoolnik et al.    | 530   | 326      |                             |
|                       | AC        | 5,075,109     | 12/24/1991    | Tice et al.         | 424   | 88       |                             |
|                       | AD        | 5,413,797     | 05/09/1995    | Khan et al.         | 424   | 489      |                             |
|                       | AE        | 5,547,846     | 08/20/1996    | Bartsch et al.      | 435   | 7.1      |                             |
|                       | AF        | 5,580,859     | 12/03/1996    | Felgner et al.      | 514   | 44       |                             |
|                       | AG        | 5,589,466     | 12/31/1996    | Felgner et al.      | 514   | 44       |                             |
|                       | AH        | 5,593,972     | 01/14/1997    | Weiner et al.       | 514   | 44       |                             |
|                       | AI        | 5,633,234     | 05/27/1997    | August et al.       | 514   | 44       |                             |
|                       | AJ        | 5,643,605     | 07/01/1997    | Cleland et al.      | 424   | 489      |                             |
|                       | AK        | 5,648,459     | 07/15/1997    | Cole et al.         | 530   | 324      |                             |
|                       | AL        | 5,656,297     | 08/12/1997    | Bernstein et al.    | 424   | 484      |                             |
|                       | AM        | 5,679,647     | 10/21/1997    | Carson et al.       | 514   | 44       |                             |
|                       | AN        | 5,695,770     | 12/09/1997    | Raychaudhuri et al. | 424   | 278.1    |                             |
|                       | AO        | 5,703,055     | 12/30/1997    | Felgner et al.      | 514   | 44       |                             |
|                       | AP        | 5,705,151     | 01/06/1998    | Dow et al.          | 424   | 93.21    |                             |
|                       | AQ        | 5,709,860     | 01/20/1998    | Raychaudhuri et al. | 424   | 184.1    |                             |
|                       | AR        | 5,736,524     | 04/07/1998    | Content et al.      | 514   | 44       |                             |
|                       | AS        | 5,783,567     | 07/21/1998    | Hedley et al.       | 514   | 44       |                             |
|                       | AT        | 6,007,806     | 12/28/1999    | Lathe et al.        | 424   | 93.2     |                             |
|                       | AU        | 6,248,565     | June 19, 2001 | Williams et al.     | 435   | 69.7     |                             |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |    |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|   |           |                 |                  |                          |       |          | Yes         | No |
| Q   | AV        | 60-147368       | 07/04/1985       | Japan                    |       |          |             |    |
|   | AW        | WO 93/22338     | 11/11/1993       | PCT                      |       |          |             |    |
|   | AX        | WO 94/04557     | 03/03/1994       | PCT                      |       |          |             |    |

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| <b>Examiner Signature</b><br>   | <b>Date Considered</b><br>11/7/03 |
| <b>EXAMINER:</b> Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                                   |



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Sheet 2 of 4

Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
08191-013001Application No.  
09/664,225**Supplemental Information Disclosure Statement  
by Applicant**

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Applicant  
Mary Lynne Hedley et al.Filing Date  
September 18, 2000Group Art Unit  
1633

(37 CFR §1.98(b))

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TECH CENTER 1600/2500**Foreign Patent Documents or Published Foreign Patent Applications**

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
|                  |           |                 |                  |                          |       |          | Yes         | No |
| OV               | AY        | WO 94/20127     | 09/15/1994       | PCT                      |       |          |             |    |
|                  | AZ        | WO 94/21680     | 09/29/1994       | PCT                      |       |          |             |    |
|                  | AAA       | WO 94/23738     | 10/27/1994       | PCT                      |       |          |             |    |
|                  | ABB       | WO 95/24929     | 09/21/1995       | PCT                      |       |          |             |    |
|                  | ACC       | WO 96/00583     | 01/11/1996       | PCT                      |       |          |             |    |
|                  | ADD       | WO 97/17063     | 05/15/1997       | PCT                      |       |          |             |    |
|                  | AEE       | WO 97/33602     | 09/18/1997       | PCT                      |       |          |             |    |
|                  | AFF       | WO 97/41440     | 11/06/1997       | PCT                      |       |          |             |    |
|                  | AGG       | WO 97/42940     | 11/20/1997       | PCT                      |       |          |             |    |
|                  | AHH       | WO 98/31398     | 07/23/1998       | PCT                      |       |          |             |    |

**Other Documents (include Author, Title, Date, and Place of Publication)**

| Examiner Initial | Desig. ID | Document  |
|------------------|-----------|---|
| OV               | AII       | Collins et al., "Processing Of Exogenous Liposome-Encapsulated Antigens In Vivo Generates Class I Mhc-Restricted T Cell Responses," THE JOURNAL OF IMMUNOLOGY, 148:3336-3341, No. 11, June 1, 1992                              |
|                  | AJJ       | Donnelly et al., "Immunization with DNA," JOURNAL OF IMMUNOLOGICAL METHODS, 176:145-152, No. 2, 1994  |
|                  | AKK       | Elliott et al., "Processing of Major Histocompatibility Class I-restricted Antigens in the Endoplasmic Reticulum," THE JOURNAL OF EXPERIMENTAL MEDICINE, 181:1481-1491, April 1995  |
|                  | ALL       | Feltkamp et al., "Vaccination with cytotoxic T Lymphocyte epitope-containing peptide protects against a tumor induced by human papillomavirus type 16-transformed cells," EUROPEAN JOURNAL OF IMMUNOLOGY, 23:2242-2249, 1993    |
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|                  | AOO       | Gao et al., "Tumor-Associated E6 Protein of Human Papillomavirus Type 16 Contains an Unusual H-2K <sup>b</sup> -Restricted Cytotoxic T Cell Epitope," THE JOURNAL OF IMMUNOLOGY, 155:5519-5526, December 15, 1995               |
|                  | APP       | Hurtenbach et al., "Prevention of Autoimmune Diabetes in Non-Obese Diabetic Mice by Treatment with a Class II Major Histocompatibility Complex-blocking Peptide," THE JOURNAL OF EXPERIMENTAL MEDICINE, 177:1499-1504, May 1993 |

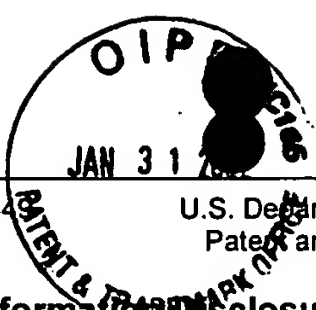
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Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
08191-013001Application No.  
09/664,225**Supplemental Information Disclosure Statement  
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Applicant  
Mary Lynne Hedley et al.Filing Date  
September 18, 2000Group Art Unit  
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TECH CENTER 1600/2600**Other Documents (include Author, Title, Date, and Place of Publication)**

| Examiner Initial | Desig. ID | Document   |
|------------------|-----------|--|
| DN               | AQQ       | Jochmus et al., "Specificity of human cytotoxic T Lymphocytes induced by a human papillomavirus type 16 E7-derived peptide," THE JOURNAL OF GENERAL VIROLOGY, 78:1689-1695, July 1997  |
|                  | ARR       | Kadish et al., "Cell-mediated immune responses to E7 peptides of human papillomavirus (HPV) type 16 are dependent on the HPV type infecting the cervix whereas serological reactivity is not type-specific," THE JOURNAL OF GENERAL VIROLOGY, 75:2277-2284, September 1994 |
|                  | ASS       | Kadish et al., "Lymphoproliferative Responses to Human Papillomavirus (HPV) Type 16 Proteins E6 and E7: Outcome of HPV Infection and Associated Neoplasia," JOURNAL OF THE NATIONAL CANCER INSTITUTE, 89:1285-1293, September 3, 1997                                      |
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|                  | AUU       | Lin et al., "Treatment of Established Tumors with a Novel Vaccine that Enhances Major Histocompatibility Class II Presentation of Tumor Antigen," CANCER RESEARCH, 56:21-26, January 1, 1996   |
|                  | AVV       | Madden et al., "The Antigenic Identity of Peptide-MHC Complexes: A Comparison of the conformations of Five Viral Peptides Presented by HLA-A2," CELL, 75:693-708, November 19, 1993  |
|                  | AWW       | Mowat et al., "ISCOMS - A Novel Strategy for Mucosal Immunization?", IMMUNOLOGY TODAY, 12:383-385, 1991  |
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|                  | AZZ       | Reddy et al., "In Vivo Cytotoxic T Lymphocyte Induction with Soluble Proteins Administered in Liposomes," THE JOURNAL OF IMMUNOLOGY, 148:1585-1589, No. 5, March 1, 1992   |
|                  | AAAA      | Ressing et al., "Human CTL Epitopes Encoded by Human Papillomavirus Type 16 E6 and E7 Identified Through In Vivo and In Vitro Immunogenicity Studies of HLA-A 0201 Binding Peptides," THE JOURNAL OF IMMUNOLOGY, 154:5934-5943, June 1, 1995                               |
|                  | ABBB      | Ressing et al., "Occasional Memory Cytotoxic T-Cell Responses of Patients with Human Papillomavirus Type 16-positive Cervical Lesions against a Human Leukocyte Antigen-A 0201-restricted E7-encoded Epitope," CANCER RESEARCH, 56:582-588, February 1, 1996               |
|                  | ACCC      | Shrine et al., "Pangaea Aims Naturally Processed Peptides at First viral Target: Human Papilloma Virus," BIOWORLD TODAY, THE BIOTECHNOLOGY NEWSPAPER, 7:1-2, May 15, 1996  |
|                  | ADDD      | Stellar et al., "Human Papillomavirus Immunology and Vaccine Prospects," JOURNAL OF THE NATIONAL CANCER INSTITUTE MONOGRAPHS, No. 21, pp. 145-148, 1996  |
|                  | AEEE      | Takahashi et al., "Induction of CD8 Cytotoxic T Cells by Immunization with Purified HIV-1 Envelope Protein in ISCOMs," NATURE, 344:873-875, April 1990   |
|                  | AFFF      | Tarpey et al., "Human Cytotoxic T Lymphocytes Stimulated by Endogenously processed human papillomavirus type 11 E7 recognize a peptide containing a HLA-A2 (A*0201) motif," IMMUNOLOGY, 81:222-227, February 1994  |

Examiner Signature

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Date Considered

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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet 4 of 4

Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
08191-013001Application No.  
09/664,225**Supplemental Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant  
Mary Lynne Hedley et al.Filing Date  
September 18, 2000Group Art Unit  
1633**Other Documents (include Author, Title, Date, and Place of Publication)**

| Examiner Initial  | Desig. ID | Document  |
|---|-----------|---|
|  | AGGG      | Tsukui et al., "Interleukin 2 Production in vitro by Peripheral Lymphocytes in response to Human Papillomavirus-derived peptides: Correlation with Cervical Pathology," CANCER RESEARCH, 56:3967-3974, September 1, 1996  |
|   | AHHH      | Urban et al., "A subset of HLA-B27 Molecules contains peptides much longer than nonamers," PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES, 91:1534-1538, February 1994   |
|   | AIII      | Vitiello et al., "Analysis of the HLA-restricted Influenza-specific Cytotoxic T Lymphocyte Response in Transgenic Mice Carrying a Chimeric Human-Mouse Class I Major Histocompatibility Complex," THE JOURNAL OF EXPERIMENTAL MEDICINE, 173:1007-1015, April 1991 |
|   | AJJJ      | Vitiello et al., "Development of a Lipopeptide Based Therapeutic Vaccine to Treat Chronic HBV Infection," THE JOURNAL OF CLINICAL INVESTIGATION, 95:341-349, January 1995   |
|   | AKKK      | Zhu et al., "Both Immunization with Protein and Recombinant Vaccinia Virus Can Stimulate CTL Specific for the E7 Protein of Human Papilloma Virus 16 in H-2 <sup>d</sup> Mice," SCANDINAVIAN JOURNAL OF IMMUNOLOGY, 42:557-563, 1995                              |

Examiner Signature



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9/19/02

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